



PATENT

PATENT AND TRADEMARK OFFICE

APPLICANTS: James H. Parry *et al.*
APPLICATION NO.: 09/660,205
FILING DATE: September 12, 2000
TITLE: COMMUNICATIONS SYSTEM AND METHOD UTILIZING CENTRALIZED
SIGNAL PROCESSING
EXAMINER: UNASSIGNED
GROUP ART UNIT: 2642
ATTY. DKT. NO.: 21706-04982

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: November 20, 2000

By:

Brian M. Hoffman, Reg. No. 39,713

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR:

Responsive to Notice to File Missing Parts dated November 2, 2000 received in the above-identified patent application, enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☐ an original, signed Declaration;
- ☐ a signed Verified Statement
- ☐ Applicant respectfully submits that since this application was entitled to Small Entity Status when filed, as established with the enclosed original, signed, Verified Statement, the fee for filing as a large

entity as indicated in the Notice to File Missing Parts is inappropriate and that the filing fee submitted with this application as originally filed is sufficient to cover the filing fees for this application;

☒ payment in the amount of \$1,186.00 for the basic filing fee, additional claims fee, and missing parts surcharge;

☒ **Other:**

Applicants wish to point out a typographical error in the word "utilizing" in the title of the application on the original Filing Receipt printed 11/1/00. Correction of this error at the time the Corrected Filing Receipt is printed will be appreciated.

Respectfully submitted,

JAMES H. PARRY *et al.*

Dated: November 20, 2000

By: 

Brian M. Hoffman, Reg. No. 39,713

Attorney for Applicants

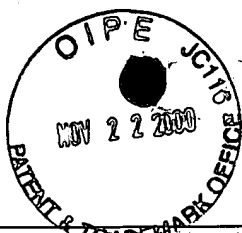
Fenwick & West LLP

Two Palo Alto Square

Palo Alto, CA 94306

Tel.: (415) 875-2484

Fax: (415) 281-1350



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+ ✓

PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95 TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	09/660,205	
	Filing Date	September 12, 2000	
	First Named Inventor	James H. Parry <i>et al.</i>	
	Group Art Unit Number	2642	
	Examiner Name	UNASSIGNED	
Total Number of Pages in This Submission	8	Attorney Docket Number	21706-04982

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/> _____
<input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/> _____
<input type="checkbox"/> Status Request	<input type="checkbox"/> _____
<input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/> _____
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Brian M. Hoffman, Reg. No. 79,713	Dated:	November 20, 2000

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:		Dated:	November 20, 2000
Express Mail Mailing Number (optional):			

Complete if Known

Application Number	09/660,205
Filing Date	September 12, 2000
First Named Inventor	James H. Parry <i>et al.</i>
Group Art Unit	2642
Examiner Name	UNASSIGNED
Attorney Docket Number	21706-04982

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$)** 1.186.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

- | <u>Large Entity</u> | <u>Small Entity</u> | | |
|---------------------|---------------------|--|------------|
| Fee Code/Fee | Fee Code/Fee | Fee Description | Fee Due |
| 105/ \$130 | 205/ \$65 | Surcharge - late filing fee or oath | 130 |
| 127/ \$50 | 227/ \$25 | Surcharge-late provisional filing fee or cover sheet | |
| 147/ \$2,520 | 147/ \$2,520 | For filing a request for reexamination | |
| 115/ \$110 | 215/ \$55 | Extension for response within first month [†] | |
| 116/ \$390 | 216/ \$195 | Extension for response within second month [†] | |
| 117/ \$890 | 217/ \$445 | Extension for response within third month [†] | |
| 118/ \$1,390 | 218/ \$695 | Extension for response within fourth month [†] | |
| 128/ \$1,890 | 228/ \$945 | Extension for response within fifth month [†] | |
| 119/ \$310 | 219/ \$155 | Notice of Appeal | |
| 141/ \$1,240 | 241/ \$620 | Petition to revive unintentionally abandoned application | |
| 142/ \$1,240 | 242/ \$620 | Utility Issue Fee (Or Reissue) | |
| 143/ \$440 | 243/ \$220 | Design Issue Fee | |
| 122/ \$130 | 122/ \$130 | Petitions to the Commissioner | |
| 126/ \$180 | 126/ \$180 | Submission of Information Disclosure Statement | |
| 179/ \$710 | 279/ \$355 | Request for Continued Examination (RCE) | |
| 581/ \$40 | 581/ \$40 | Recording each patent assignment per property (times number of properties) | |
| 146/ \$710 | 246/ \$355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149/ \$710 | 249/ \$355 | For each additional invention to be examined (37 CFR 1.129(b)) | |

A Duplicate Copy of this authorization is attached

2. ☒ **Payment Enclosed:**
☒ Check ☐ Credit Card ☐ Other

FEE CALCULATION (fees effective 10/01/2000)

1. FILING FEE

<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee	Fee Description	Fee Due
101/ \$710	201/ \$355	Utility Filing	690
106/ \$320	206/ \$160	Design Filing	
108/ \$710	208/ \$355	Reissue	
114/ \$150	214/ \$75	Provisional Filing	

SUBTOTAL (1)	(\$) 690
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2. CLAIMS

<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee	Fee Description
103/ \$18	203/ \$9	Claims in excess of 20
102/ \$80	202/ \$40	Independent claims in excess of 3
104/ \$270	204/ \$135	Multiple dependent claim
109/ \$80	209/ \$40	Reissue independent claims over original patent
110/ \$18	210/ \$9	Reissue claims in excess of 20 and over original patent

Other fee (specify):

Other fee (specify):

SUBTOTAL (3) (\$)

(Col. 1)			(Col. 2)		(Col. 3)			
For	No. of Existing Claims		Highest No. Previously Paid For		Extra**		Fee	Fee Due
TOTAL	36	minus*	20 or 0	=	16	x	18	= 288
INDEP	4	minus*	3 or 0	=	1	x	78	= 78
<input type="checkbox"/> First presentation of multiple dependent claim								

* Subtract the greater number of Col. 2

**** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3**

SUBTOTAL (2) **(\$)** 366

SUBMITTED BY

Typed or Printed Name	Brian M. Hoffman
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Complete (if applicable)

Reg. Number	39,713
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Signature

Date November 20, 2000

* Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby
Rev. 09/30/2000



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/660,205	09/12/2000	James H. Parry	21706-04982

Brian M Hoffman
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306

FORMALITIES LETTER



OC000000005525355

RECEIVED

NOV 06 2000

FENWICK & WEST LLP

Date Mailed: 11/02/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$366.
 - \$288 for 16 total claims over 20.
 - \$78 for 1 independent claims over 3.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 1186.

A copy of this notice **MUST** be returned with the reply.

Paula Britton

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/27/2000 K.T.M. 00000000 192335 09350203
01 FC:101 710.00 00
02 FC:105 130.00 00
03 FC:102 00.00 00
04 FC:103 255.00 00
22.00 01